# Adult Substance Abuse Assessment Only (ASAO)

| Client<br>Eligibility<br>Criteria | <ul> <li>Adults, ages 18 and over, who:</li> <li>are seeking or needing services for a current Substance Abuse problem or symptom, AND</li> <li>have completed a current LME Screening/Triage/Referral (STR) process, AND</li> <li>have received a current LME STR triage determination of "Urgent" or "Routine", AND</li> <li>have been referred by the LME STR to the provider for assessment, AND</li> <li>have been determined by the provider not to be eligible for any other MH, DD, or SA Target Population, AND</li> <li>have been determined by the provider not to be eligible for Medicaid services.</li> </ul> The purpose of the Assessment Only Target Population is to provide a mechanism to reimburse a provider for a single service or assessment event that has been provided to a consumer, but for whom the provider determines that the consumer does not meet eligibility requirements for any |
|-----------------------------------|---|
|                                   | other Target Population or for Medicaid services. Pending record requirements in APSM 45-2 apply.  NOTES:  All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the International Classification of Diseases 9 <sup>th</sup> Revision (ICD-9) incorporated by reference to include subsequent amendments and editions.   |
| ICD-9<br>Diagnosis<br>Ranges      | 291-29299 303-30599  All clients are required to have a principal or primary diagnosis of a substance-related disorder involving alcohol or other drug(s) in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  **Comparison of the most current list of specific diagnoses, refer to **IPRS Diagnosis / Target Population Crosswalk** on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency<br>Issues             | An individual cannot be enrolled in ASAO and any other MH, DD, or SA Target Population category at the same time.   |

### Adult Substance Abuse Assessment Only (ASAO) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider  |
| Funding<br>Source(s)      | Adult SA SSBG – 536949 1491 252 Q7 Adult SA SABG – 536949 1491 252 5P Adult SA State UCR – 536949 1490 222 00   |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  Up to 1 periodic service event within a current episode of care, and up to 2 periodic service events within the fiscal year, after which prior approval is required or current eligibility enrollment in another Target Population category. |

# Adult Substance Abuse Injecting Drug User/Communicable Disease (ASCDR)

| Client<br>Eligibility<br>Criteria | Injecting Drug Users, those with Communicable Disease and/or those Enrolled in Opioid Treatment Programs, are those adults who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and:  (1) Who are currently (or within the past 30 days) injecting a drug under the skin, into a muscle, or into a vein for non-medically sanctioned reasons and who meet ICD-9 criteria for a substance-related disorder;  OR  (2) Who are infected with HIV, tuberculosis, or hepatitis B, C, or D and who meet ICD-9 criteria for a substance-related disorder;  OR  (3) Who meet ICD-9 criteria for dependence to a opioid drug, are addicted at least one year before admission, are 18 years of age or older, and who are enrolled in an opioid |
|-----------------------------------|---|
|                                   | treatment program.  Re-assessment is required at least annually   |
|                                   | NOTES: All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the International Classification of Diseases 9 <sup>th</sup> Revision (ICD-9) incorporated by reference to include subsequent amendments and editions.   |
|                                   | The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.  |
|                                   | Intent is to insure that SA treatment services are paid for by this population group.   |
| ICD-9<br>Diagnosis<br>Ranges      | 291-29299 303-30599  All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.   |
|                                   | For the most current list of specific diagnoses, refer to <a href="https://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)</a>  |
| Concurrency<br>Issues             | An individual cannot be enrolled in both ASCDR and ASAO or AMSRE at the same time.  |
|                                   | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website.  (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |

# Adult Substance Abuse Injecting Drug User/Communicable Disease (ASCDR) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
|---------------------------|--|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider   |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA SSBG – 536949 1491 252 Q7  Adult SA HIV – 536913 1491 252 5P  Adult SA IV Drug - 536952 1491 252 5P  Adult SA SABG - 536949 1491 252 5P  Adult SA State UCR – 536949 1490 222 00  ***The code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  **The code H0010, H2011, H2036, S9484, YP485, YP790, YP820, YP485, YP790, YP820, YP485, YP790, YP820, YP485, YP485, YP790, YP485, Y |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  Opioid Treatment should not be received from more than one provider in any month.   |

# Adult Substance Abuse Criminal Justice Offender (ASCJO)

| Client<br>Eligibility<br>Criteria | Substance abusing Adult clients who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, who are involved in the criminal justice system, and:  (1) Who meet ICD-9 criteria for a substance-related disorder;  |
|-----------------------------------|--|
|                                   | AND (2) Whose services are approved by a TASC Program Care Manager;  |
|                                   | AND (3) Who voluntarily consent to participate in substance abuse treatment services;  |
|                                   | AND  |
|                                   | (4) Who are Intermediate Punishment offenders OR who are Department of Correction<br>releasees (parole or post-release) who have completed a treatment program while in<br>custody OR who are Community Punishment Violators at-risk for revocation.   |
|                                   | Re-assessment is required at least annually  |
|                                   | NOTES: All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) <i>Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)</i> (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the <i>International Classification of Diseases 9<sup>th</sup> Revision (ICD-9)</i> incorporated by reference to include subsequent amendments and editions. |
|                                   | The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.   |
| ICD-9                             | 291-29299 303-30599  |
| Diagnosis<br>Ranges               | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.   |
|                                   | For the most current list of specific diagnoses, refer to <a href="IPRS Diagnosis/Target Population Crosswalk">IPRS Diagnosis / Target Population Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency<br>Issues             | An individual cannot be enrolled in both ASCJO and ASAO or AMSRE at the same time.   |
|                                   | For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |

# Adult Substance Abuse Criminal Justice Offender (ASCJO) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider  |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA Criminal Justice – 536943 1490 222 00  Adult SA SSBG – 536949 1491 252 Q7  Adult SA SABG - 536949 1491 252 5P  Adult SA State UCR – 536949 1490 222 00 |
| Utilization<br>Management | For full details, refer to IPRS Budget Criteria on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  |
|                           |   |

# Adult Substance Abuse Crisis Services (ASCS)

#### Client Eligibility Criteria

Adults, ages 18 and over, who:

are seeking or needing services for a current Substance Abuse problem or symptom,

#### **AND**

who is not eligible for Medicaid,

#### AND

who have completed a current Screening/Triage/Referral Interview and have received an "Emergent" triage determination, as defined below\*, <u>or</u> are currently enrolled in an eligible Adult Substance Abuse Target Population and are in need of crisis or emergency services beyond the capacity of the designated First Responder provider,

Note: An individual who is eligible for Medicaid is <u>not</u> eligible for the Crisis Services target population, nor is an individual who is eligible for both Medicaid and IPRS services. The Crisis Services target population is limited to only those individuals who either:

- a) have no IPRS target population eligibility, or
- b) have only IPRS target population eligibility, but not Medicaid eligibility.

The purpose of the Crisis Services Target Population is to provide a mechanism to reimburse a provider for crisis or emergency services that have been provided to a non-Medicaid Adult Substance Abuse consumer. The consumer may or may not meet eligibility requirements for any other IPRS Target Population, but may not be eligible for Medicaid.

Eligibility for the Crisis Services target population requires LME admission of consumer into the CDW through completion of the Identifying Information (Record 10 or 30), Demographics (Record 11 or 31), and Substance Abuse (Drug of Choice) Details (Record 17 or 37).

The LME may establish the initial eligibility period in the Crisis Services (ASCS) population group for up to fourteen (14) days. After the initial eligibility period, the consumer must be reassessed and determined to continue to be in need of crisis and emergency services to be considered for another fourteen (14) day eligibility period.

\* STR Definition of "Emergent": An individual's need shall be categorized as "Emergent" when the individual presents a moderate or severe risk related to safety or supervision, or is at moderate or severe risk of substance abuse withdrawal symptoms, or presents a mild, moderate, or severe risk of harm to self or others, or has severe incapacitation in one or more area(s) of physical, cognitive, or behavioral functioning related to mental health, developmental disabilities or substance abuse problems.

### Adult Substance Abuse Crisis Services (ASCS) (continued)

| ICD-9                 | 291-29299 303-30599  |
|-----------------------|--|
| Diagnosis             | All clients are required to have a principal or primary diagnosis of a substance-related disorder involving  |
| Ranges                | alcohol or other drug(s) in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|                       | For the most current list of specific diagnoses, refer to <u>IPRS Diagnosis / Target Population Crosswalk</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency<br>Issues | Individual may not concurrently be enrolled in ADCS, AMCS, or in any AO target population group.   |
|                       | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Service<br>Array      | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Provider              | Billing Provider – LME   |
| Restrictions          | Attending Provider – Multi-Service w/SA Provider or Enrolled SA Provider   |
| Funding               | Adult SA State Crisis – 536949003 1490 222 00  |
| Source(s)             | For full details, refer to <u>IPRS Budget Criteria</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Utilization           | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  |
| Management            | Each Local Management Entity is responsible for the development and implementation of crisis and emergency services delivery and authorization guidelines to ensure the prompt and efficient implementation of services to eligible "Crisis Services (CS)" consumers. This includes LME approved procedures for the authorization of 24 hour admissions to inpatient hospital, facility based crisis, and 24 hour detoxification programs. The Division recommends that the LME review and authorize Mobile Crisis services after the delivery of the initial 16 units (4 hours) of this service.  During regular hours of operation, the Division recommends immediate notification of the LME by the crisis or emergency services provider for all 24 hour emergency admissions. Written notification regarding such emergency admissions is recommended to be provided to the LME within 24 hours in all circumstances. |

# Adult Substance Abuse DSS Involved (ASDSS)

| Client<br>Eligibility<br>Criteria | DSS Involved adults who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and are substance abusers who meet ICD-9 criteria for substance-related disorder include those who:  |
|-----------------------------------|---|
|                                   | <ul><li>(1) Are parents who have legal custody of a child or children under 18 years of age;</li><li>AND</li></ul>  |
|                                   | <ul> <li>(2) where there is a Child Protective Services report for child abuse, neglect, or dependence that is being assessed, or where there is a finding of a need for Child Protective Services or a case decision of substantiation by Child Protective Services, OR who are authorized by DSS to receive Work First Assistance and/or services</li> <li>OR</li> </ul>  |
|                                   | (1) Are DSS involved individuals who have been convicted of a Class H or I Controlled Substance Felony in North Carolina, and who are applicants for or a recipient of Food Stamps.   |
|                                   | Re-assessment is required at least annually   |
|                                   | NOTES: All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the International Classification of Diseases 9 <sup>th</sup> Revision (ICD-9) incorporated by reference to include subsequent amendments and editions. |
|                                   | The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.  |
|                                   | Intent is to insure that SA treatment services are paid for by this population group.   |
| ICD-9<br>Diagnosis                | 291-29299 303-30599   |
| Ranges                            | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|                                   | For the most current list of specific diagnoses, refer to <a href="IPRS Diagnosis">IPRS Diagnosis / Target Population Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Concurrency<br>Issues             | An individual cannot be enrolled in both ASDSS and ASAO or AMSRE at the same time.  |
|                                   | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |

# Adult Substance Abuse DSS Involved (ASDSS) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider  |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA SSBG – 536949 1491 252 Q7  Adult SA SABG - 536949 1491 252 5P  Adult SA State UCR – 536949 1490 222 00 |
|                           | For full details, refer to <u>IPRS Budget Criteria</u> on the IPRS website.  (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.   |

# Adult Substance Abuse DWI Treatment (ASDWI)

|             | Adults, ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse   |
|-------------|--|
| Client      | disorder, who have an ICD-9 substance-related disorder and:  |
| Eligibility | 1) Have been arrested for  |
| Criteria    | Driving while impaired (DWI) OR  |
|             | Commercial DWI, OR   |
|             | ·  |
|             | <ul> <li>Driving while less than 21 years old after consuming alcohol or drugs;</li> <li>AND</li> </ul>  |
|             | Nust have completed a DWI Assessment and been identified with a substance abuse  |
|             | handicap;  |
|             | AND  |
|             | 3) Client must pay for initial \$175 in fees for assessment and treatment;   |
|             | AND  |
|             | 4) Have an income level of 200% or less of the federal poverty level.  |
|             | Re-assessment is required at least annually  |
|             | NOTES:   |
|             | The intent of this eligibility category is to provide necessary access to treatment for eligible   |
|             | individuals who cannot pay for services through first or third party payment and who are seeking   |
|             | substance abuse treatment that is required in order for the individual to obtain a Certificate of  |
|             | Completion required under General Statute as a condition for the restoration of a driver license.  |
|             | All individuals will be assessed for service eligibility on the basis of the American Society of   |
|             | Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related  |
|             | Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments  |
|             | and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical   |
|             | Attention will be classified according to the <i>International Classification of Diseases 9<sup>th</sup> Revision</i>  |
|             | (ICD-9) incorporated by reference to include subsequent amendments and editions.   |
|             |  |
|             | The client's service record shall include the required substance abuse Treatment Episode Data  |
|             | Set (TEDS) admission and discharge data elements that are reported to the Division. These  |
|             | elements include, but are not limited to, the identification of the primary, secondary, and tertiary   |
|             | substance problems, frequency of use, usual route of administration, age of first use, and   |
|             | pregnancy status at time of admission.   |
|             | (For Federal Poverty level indicator go to http://www.dhhs.state.nc.us/dma/cpcont.htm)   |
| ICD-9       | 291-29299 303-30599  |
| Diagnosis   | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in   |
| Ranges      | addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|             |  |
|             | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|             | For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i>  |
|             | on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency | An individual cannot be enrolled in both ASDWI and ASAO or AMSRE at the same time.   |
| Issues      | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~   |
|             | The state of the s |
|             | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website.  |
|             | (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |

### Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2006-07

### Adult Substance Abuse DWI Treatment (ASDWI) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
|---------------------------|--|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider   |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA State UCR – 536949 1490 222 00  Mandatory Co-payment Requirement of first \$175 in Fees by Eligible Client. |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  Limit of 90 visits per fiscal year.   |

# Adult Substance Abuse High Management (ASHMT)

#### Client Eligibility Criteria

High Management Adult Substance Abusers, who are in need of treatment for a primary alcohol or drug abuse disorder, with an ICD-9 substance dependence disorder, are those individuals who are ages 18 and over, and who:

 Are currently involuntarily committed to substance abuse treatment (legally determined to be dangerous to self or others and may have co-occurring mental illness);

#### OR

2. Are currently admitted with an Axis I principal alcohol or substance dependence diagnosis to an Inpatient Hospital or Residential Treatment facility, a state operated hospital or Alcohol and Drug Abuse Treatment Center (ADATC), or a Non-Hospital Medical or Social setting Detoxification Facility, or have been discharged with an Axis I principal alcohol or substance dependence diagnosis from any of these facilities within the past 30 days.

#### OR

3. Have a substance use pattern of recurring episodes of chronic use with unsuccessful attempts at recovery (or unsuccessful attempts by the provider to engage the chronically ill individual in treatment),

#### **AND**

Have a history of one or more unsuccessful treatment episodes, which may include assisted detoxification.

#### OR

4. Have an Axis I principal substance dependence diagnosis of one or more stimulant drugs, such as cocaine, amphetamine, or methamphetamine, are advanced in their addictive disease, have limited social or environmental supports, and have few coping skills. The individual may additionally be resistive to treatment or unsuccessful in engagement in a self-help, recovery, or peer support group such as AA or NA or a faith-based recovery program, or have co-occurring disorders, or have moderate biomedical conditions.

Re-assessment is required at least annually

#### NOTES:

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9<sup>th</sup> Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.

### Adult Substance Abuse High Management (ASHMT) (continued)

| ICD-9                     | 303-30499  |
|---------------------------|--|
| Diagnosis<br>Ranges       | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.   |
|                           | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|                           | For the most current list of specific diagnoses, refer to <a href="IPRS Diagnosis / Target Population Crosswalk">IPRS Diagnosis / Target Population Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency<br>Issues     | An individual cannot be enrolled in both ASHMT and ASAO or AMSRE at the same time.   |
|                           | For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Service<br>Array          | Refer to IPRS Service Array on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Provider                  | Billing Provider – Area Program or LME   |
| Restrictions              | Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider   |
| Funding                   | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484,  |
| Source(s)                 | YP485, YP790, YP820)<br>Adult SA SSBG – 536949 1491 252 Q7   |
|                           | Adult SA SABG - 536949 1491 252 5P   |
|                           | Adult SA State UCR - 536949 1490 222 00  |
|                           |  |
|                           | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|                           | For full details, refer to <u>IPRS Budget Criteria</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| 1102-00                   | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  |
| Utilization<br>Management | The state of the s |
|                           |  |

# Adult Substance Abuse Treatment Engagement and Recovery (ASTER)

#### Client Eligibility Criteria

Adults who are ages 18 and over with a primary alcohol or drug abuse disorder and who require substance abuse assessment and treatment initiation, engagement and/or continuity of treatment services and supports for relapse prevention and recovery stability. This target population is intended to assure eligibility for substance abuse treatment services for an adult consumer who is not already eligible for services through Medicaid or a current Mental Health, Developmental Disabilities, or Substance Abuse target population.

The purpose of the Treatment Engagement and Recovery target population is to promote broadened eligibility for primary substance abuse assessment treatment services for which a provider may be reimbursed. These services should be immediately available to a consumer, and are generally limited in both duration and intensity. It is a goal that this target population eligibility will enhance LME efforts to develop a provider system that supports an accessible and responsive service array for primary substance abuse consumers. Substance abuse assessment and treatment services and supports are required to be provided per Division standards by licensed, certified, and qualified substance abuse professionals, associate professionals, and paraprofessionals.

The LME may establish the consumer's initial eligibility period in the Treatment Engagement and Recovery target population for up to two (2) eligibility periods of up to ninety (90) days each in a fiscal year. After the initial eligibility period, the consumer must be reassessed and, if determined to continue to be in need of substance abuse treatment services, may be approved for one (1) additional ninety (90) day eligibility period within a fiscal year. At the end of the second eligibility period within a fiscal year, the consumer must be enrolled in another target population to continue to be eligible for services. At the beginning of each fiscal year, the consumer is eligible to be enrolled in the target population for up to two (2) eligibility periods of up to ninety (90) days each.

If a consumer in treatment becomes eligible for another MH, DD, or SA target population, they are required to be immediately enrolled in that target population, and the consumer is likely to be eligible for a larger array of services and funding sources, and an expanded eligibility period.

This target population <u>excludes</u> any consumer whose need for services is related to an arrest for Driving While Impaired (DWI), Commercial DWI, or driving while less than 21 years old after consuming alcohol or drugs. This consumer should be enrolled in the ASDWI target population.

#### NOTES

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9<sup>th</sup> Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

The consumer's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission. The consumer's outcomes are required to be tracked through the data submitted through the provider's participation in the North Carolina Treatment Outcomes and Program Performance System (NC-TOPPS).

# Adult Substance Abuse Treatment Engagement and Recovery (ASTER) (continued)

| ICD-9                     | 291-29299 303-30599  |
|---------------------------|--|
| Diagnosis<br>Ranges       | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.   |
|                           | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|                           | For the most current list of specific diagnoses, refer to <a href="IPRS Diagnosis/Target Population">IPRS Diagnosis / Target Population</a> <a href="Crosswalk">Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
| Concurrency<br>Issues     | An individual cannot be enrolled in ASTER and any other regular Mental Health, Developmental Disabilities, or Substance Abuse target population or Assessment Only target population category at the same time. They may be concurrently enrolled in an Adult Substance Abuse, Adult Mental Health, or Adult Developmental Disabilities Crisis Services target population group. |
|                           | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Provider<br>Restrictions  | Billing Provider – Area Program or LME   |
|                           | Attending Provider – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider   |
| Funding<br>Source(s)      | Adult SA SSBG – 536949 1491 252 Q7<br>Adult SA SABG - 536949 1491 252 5P<br>Adult SA State UCR – 536949 1490 222 00  |
|                           | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|                           | For full details, refer to <u>IPRS Budget Criteria</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  |
|                           | Up to two (2) eligibility periods of up to ninety (90) days each are allowed for a consumer within each fiscal year period, after which current eligibility enrollment is required in another Substance Abuse, Mental Health, or Developmental Disabilities target population group.   |
|                           |  |

# Adult Substance Abuse Women (ASWOM)

| Client<br>Eligibility<br>Criteria | Adult women who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, with an ICD-9 Substance-Related disorder who are:  (1) Currently pregnant; OR (2) Have dependent children under 18 years of age; OR (3) Who are seeking custody of a child under 18 years of age.  Re-assessment is required at least annually  NOTES: All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the International Classification of Diseases 9th Revision (ICD-9) incorporated by reference to include subsequent amendments and editions.  The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.  Intent is to insure that SA treatment services are paid for by this population group. |
|-----------------------------------|---|
| ICD-9                             | 291-29299 303-30599   |
| Diagnosis<br>Ranges               | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|                                   | For the most current list of specific diagnoses, refer to <a href="IPRS Diagnosis / Target Population Crosswalk">IPRS Diagnosis / Target Population Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Concurrency<br>Issues             | An individual cannot be enrolled in both ASWOM and ASAO or AMSRE at the same time.  |
|                                   | For full details, refer to IPRS Eligibility Concurrency on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |

### Adult Substance Abuse Women (ASWOM) (continued)

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
|---------------------------|--|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider   |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA SSBG – 536949 1491 252 Q7  Adult SA Women SA State - 536976 1490 222 00  Adult SA Women SA SABG - 536976 1491 252 5P  Adult SA SABG - 536949 1491 252 5G  Adult SA State UCR - 536949 1490 222 00 |
| Utilization<br>Management | (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.  |

# Adult Substance Abuse Deaf and Hard of Hearing (ASDHH)

| Client<br>Eligibility<br>Criteria | Adult clients who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and who have an ICD-9 substance-related disorder and who have been assessed as having special communication needs because of deafness or hearing loss.   |
|-----------------------------------|---|
|                                   | Re-assessment is required at least annually   |
|                                   | NOTES: All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC) (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the International Classification of Diseases 9 <sup>th</sup> Revision (ICD-9) incorporated by reference to include subsequent amendments and editions. |
|                                   | The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.  |
| ICD-9<br>Diagnosis                | 291-29299 303-30599   |
| Ranges                            | All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|                                   | For the most current list of specific diagnoses, refer to <u>IPRS Diagnosis / Target Population Crosswalk</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)   |
| Concurrency<br>Issues             | An individual cannot be enrolled in both ASDHH and ASAO or AMSRE at the same time.  |
|                                   | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |

# Adult Substance Abuse Deaf and Hard of Hearing (ASDHH) (continued)

| Service<br>Array          | Refer to <i>IPRS Service Array</i> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider  |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA SSBG – 536949 1491 252 Q7  Adult SA SABG - 536949 1491 252 5P  Adult SA State UCR - 536949 1490 222 00 |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.   |

# Adult Substance Abuse Homeless (ASHOM)

#### Client Eligibility Criteria

Adult clients who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and who meet the criteria for any of the following IPRS Target Population Categories:

- Injecting Drug User/Communicable Disease Risk (ASCDR)
- Criminal Justice Offender (ASCJO)
- DSS Involved (ASDSS)
- DWI Treatment (ASDWI)
- High Management (ASHMT)
- Women (ASWOM)
- Deaf and Hard of Hearing (ASDHH)

#### **AND**

- Homeless as defined by:
  - (1) lacks a fixed, regular and adequate night-time residence

#### OR

- (2) has a primary night-time residence that is:
  - (a) temporary shelter

or

- (b) temporary residence for individuals who would otherwise be institutionalized or
- (c) place not designed/used as a regular sleeping accommodations for human beings

#### OR

- At imminent risk of homelessness as defined by:
  - (1) due to be evicted or discharged from a stay of 30 days or less from a treatment facility

#### AND

(2) who lacks resources to obtain and/or maintain housing.

| Re-assessment is required at least ann |
|--|
|--|

#### NOTES:

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions. Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9<sup>th</sup> Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

The client's service record shall include the required substance abuse Treatment Episode Data Set (TEDS) admission and discharge data elements that are reported to the Division. These elements include, but are not limited to, the identification of the primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, and pregnancy status at time of admission.

### Adult Substance Abuse Homeless (ASHOM) (continued)

| ICD-9<br>Diagnosis<br>Ranges | 291-29299 303-30599  All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  |
|------------------------------|--|
|                              | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~  |
|                              | For the most current list of specific diagnoses, refer to <a href="https://example.com/linear-nc-us/mhddsas/iprsmenu/index.htm">IPRS Diagnosis / Target Population Crosswalk</a> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm) |
| Concurrency<br>Issues        | An individual cannot be enrolled in both ASHOM and ASAO or AMSRE at the same time.   |
|                              | For full details, refer to <u>IPRS Eligibility Concurrency</u> on the IPRS website.  (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |

| Service<br>Array          | Refer to <u>IPRS Service Array</u> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)  |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider  |
| Funding<br>Source(s)      | Adult SA State Crisis – 536949003 1490 222 00 (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA SSBG – 536949 1491 252 Q7  Adult SA SABG - 536949 1491 252 5P  Adult SA State UCR - 536949 1490 222 00 |
| Utilization<br>Management | ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.   |

# Adult Substance Abuse Community Enhancement Program (ASCEP)

| Client<br>Eligibility<br>Criteria | A single, special recipient (State Enrolled 'John Doe' client) will be used to report these services to IPRS. Individual consumers are not to be enrolled in this target population.  NOTES: Client may be identified in CNDS as '(local facility code)ASA001' |
|-----------------------------------|--|
| ICD-9<br>Diagnosis<br>Ranges      | Any valid ICD-9 Code   |
| Concurrency<br>Issues             | An individual cannot be enrolled in ASCEP.   |

| Service<br>Array          | Refer to <i>IPRS Service Array</i> on the IPRS website. (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm)                      |
|---------------------------|---|
| Provider<br>Restrictions  | Billing Provider – Area Program or LME  Attending Provider – Licensed SA Treatment Provider or Multi-Service w/SA Provider            |
| Funding<br>Source(s)      | For full details, refer to <u>IPRS Budget Criteria</u> on the IPRS website.  (http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm) |
| Utilization<br>Management | Limited by Special Budget Allocation  |